

UNITED STATES PATENT AND TRADEMARK OFFICE

Consessionier for Patierss, Box PCI United States Patient and Tradiomark Office Washington, D.C. 2023

ATTY. DOCKET NO FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. 10/031,344 Yoshiki Wakizaka

037267-0142

INTERNATIONAL APPLICATION NO.

PCT/JP00/04901

I.A. FILING DATE PRIORITY DATE 07/22/1999

22428 **FOLEY AND LARDNER** 07/21/2000 SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

CONFIRMATION NO. 6436 371 FORMALITIES LETTER

OC000000007694071

Date Mailed: 03/22/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- · U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- **Substitute Specification**

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1,492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fe'es required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

SHAKEEL AHMED

Telephone: (703) 305-3659

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.			
10/031,344	PCT/JP00/04901	037267-0142			

FORM PCT/DO/EO/905 (371 Formalities Notice)



JC02 Rec'd PCT/PTO 1 0 MAY 2002

	1 PTO-1390 (Modified) U.S. DEPARTMENT OF	COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER					
(KEV	TRANSMITTAL LETTER TO THE UNITED STATES 037267-0142									
			D OFFICE (DO/EO/US)		00.20. 01.2					
	CONCERNING A FILING UNDER 35 U.S.C. 371									
					CATION NO. (If known, see 37 C.F.R. 1.5) 31,344					
		DNAL APPLICATION NO.		PRIORI	TY DATE CLAIMED					
	PCT/JP0		07/21/2000	07/2	2/1999					
	TITLE OF INVENTION CELLULAR SYSTEM AND METHOD OF MAKING COMMUNICATION IN MULTI-CODE CDMA									
	APPLICANT(S) FOR DO/EO/US									
Yoshiki WAKIZAKA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1.										
2.	_ ⊠	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.								
3.										
)S.	Ш	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).								
4.		A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date.								
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
		is transmitted herewith (required only if not transmitted by the International Bureau).								
			y the International Bureau.	totoo Bo	aciving Office (PO/LIS)					
	is not required, as the application was filed in the United States Receiving Office (RO/US)									
6.			al Application into English (35 U.S.							
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
	are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired.									
have not been made and will not be made.										
8.		A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
11.		Applicant claims small entity	y status under 37 CFR 1.27 .							
lten	ns 12. to 1	below concern other docum	ent(s) or information included:							
12.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.								
13.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
14.		A FIRST preliminary amendment.								
A SECOND or SUBSEQUENT preliminary amendment.										
15.		A substitute specification.								
16.		A change of power of attorney and/or address letter.								
17.		Other items or information: Copy of Notification of Missing Requirements (2 pgs.).								

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50 I					INTERNATIONAL APPLICATION NO. FCT/JP00/04901				ATTORNEY'S DOCKET NUMBER 037267-0142			
18. ⊠The following	1 2 3 - 7					CALCULATIONS PTO USE ONLY						
	I Fee (37 CFR 1							•				
	t has been prep		•				•••••	\$890.	00			
International preliminary examination fee paid to USPTO (37 CFR 1.482)\$710.00								00				
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)\$740.00												
Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1,040.00												
International p	oreliminary exam satisfied provisi	ninatio	n fee pa	id to USF	то	(37 CFR 1	.482))				
	<u> </u>					SIC FEE				\$	0.00	
Surcharge of \$130	.00 for furnishing	the o	oath or d	eclaration	ı late	er than 30				\$13	0.00	
Months from the ea		riority	date (37	CFR 1.4	92(e	e))						
Claims	Number Filed		Included Fee	in Basic		Extra Claims		Rat	е			
Total Claims		-	2	20	=	0	×	\$18	.00	\$	0.00	
Independent Claims		-		3	=	0	×	\$84	.00	\$	0.00	
Multiple dependent	claim(s) (if appl							\$280				
101/1201/120120120110110								\$13				
Reduction by ½ for	filing by small e	entity,	if applica	ble.						\$	0.00	
						SU	JBT	OTAL	=	\$13	0.00	
Processing fee of \$ months from the ea									+			
<u></u>	· · · · · ·					L NATIO	NAL	FEE	=	\$130	0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +												
						EES EN	<u> </u>		_	\$130	0.00	
								T	l	Amount to be:		
05/15/2002 SI	MAJARRO 0000006	8 100	31344							refunded	ļ	
01 FC:154	<u> </u>		130.00							charged	\$	
a. 🛛 💮 A check i	n the amount of	\$130.	.00 to co	ver the al	bove	fees is en	close	d.				
b. Please charge my Deposit Account No. 19-0741 in the amount of \$0.00 to the above fees. A duplicate copy of this sheet is enclosed.												
c. 🛛 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any									,			
overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed.												
NOTE: Where an a 1.137(a) or (b)) mu:	appropriate time st be filed and g	limit u	under 37 d to resto	CFR 1.4 ore the ap	94 o	r 1.495 ha ation to per	s not nding	been me status.	et, a p	etition to revive (3	7 CFR	
SEND ALL CORRESPO	NDENCE TO:							1	11	11	,	2
SEND ALL CORRESPONDENCE TO:												
Foley & Lardner Customer Number: 22428												
HILLIAN HILLIAN SIN Profession Phillip J. Articola												
NAME DAVID APBLUMENTHAL FOR Reg. No. 38, 819												
22428 REGISTRATION NUMBER 26,257												
PATENT TRADEMARK OFFICE												